

# Two-sorted Algebraic Decompositions of Brookes's Shared-State Denotational Semantics

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07 May 2025 | FoSSaCS | Hamilton, ON, Canada

# Outline of the Talk

- \* Sequential setting — introduction to algebraic effects<sup>[P & Power 2002]</sup>
- \* Concurrent setting:
  - » There's an algebraic effects theory for cooperative concurrency<sup>[P 2006]</sup>
  - » Using it for preemptive concurrency lacks abstraction<sup>[DKL 2022]</sup>
- \* Highly abstract denotational model for preemptive concurrency<sup>[Brookes 1996, BHN 2016]</sup>
- \* Two-sorted algebraic effects for concurrency:
  - » The o-sort adjunction recovers preemptive concurrency — goal achieved!
  - » The ●-sort adjunction recovers cooperative concurrency — nice perk

# Section 1

## The Sequential Setting

# Small-Step Semantics

- \* Consider a **sequential** programming language
- \* **Core Language**: sequencing ( $-;-$ ), branching (**ifz**  $-$  **then**  $-$  **else** $-$ ), etc.
- \* **Effects**: **writing** ( $l := v$ ) and **reading** ( $l?$ ) **bits**  $\mathbb{B} = \{0, 1\}$  to storage **locations**  $\mathbb{L}$

$$\sigma \in \mathbb{S} \triangleq \mathbb{L} \rightarrow \mathbb{B}$$

$$\begin{aligned} & \sigma, (l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 0], (\text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 0], (\text{ifz } 0 \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 0], (\text{"ok"}) \quad \blacksquare \end{aligned}$$

# Moggi's Monad-based Compositional (Denotational) Semantics<sup>[1991]</sup>

**Domain:** state transformers  $\underline{TX} \triangleq (\mathbb{S} \rightarrow \mathbb{S} \times X)$

$$\llbracket l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"} \rrbracket_{\text{prog}} = \lambda\sigma. \langle \sigma[l \mapsto 0], \text{"ok"} \rangle \in \underline{TString}$$

# Plotkin & Power's Algebraic Effects Semantics<sup>[2002]</sup>

Monadic semantics proves contextual equivalences (adequacy theorem):

$$\llbracket l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"} \rrbracket_{\text{prog}} = \lambda\sigma. \langle \sigma[l \mapsto 0], \text{"ok"} \rangle = \llbracket l := 0 ; \text{"ok"} \rrbracket_{\text{prog}}$$

Underlying algebraic reasoning:

$$\begin{array}{ccc} \parallel & & \parallel \\ \llbracket U_{l,0} L_l (\text{"ok"}, \text{"bug"}) \rrbracket_{\text{term}} & \stackrel{(\text{UL})}{=} & \llbracket U_{l,0} \text{"ok"} \rrbracket_{\text{term}} \end{array}$$

The algebraic effects theory of global state  $G$  has:

- \* Operators for updating  $U_{l,v} : 1$  and looking up  $L_l : 2$  bits in storage ( $O : \text{arity}$ )
- \* Axioms such as (UL)  $U_{l,v} L_l(x_0, x_1) = U_{l,v} x_v$

# Plotkin & Power's Algebraic Effects Semantics<sup>[2002]</sup>

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$$\llbracket l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"} \rrbracket_{\text{prog}} = \lambda\sigma. \langle \sigma[l \mapsto 0], \text{"ok"} \rangle = \llbracket l := 0 ; \text{"ok"} \rrbracket_{\text{prog}}$$

Underlying algebraic reasoning:

$$\begin{array}{ccc} \parallel & & \parallel \\ \llbracket U_{l,0} L_l (\text{"ok"}, \text{"bug"}) \rrbracket_{\text{term}} & \stackrel{(\text{UL})}{=} & \llbracket U_{l,0} \text{"ok"} \rrbracket_{\text{term}} \end{array}$$

Interpret operators as operations over the domain:

$$\llbracket U_{l,v} \rrbracket_{\text{op}} f \triangleq \lambda\sigma \in \mathbb{S}. f(\sigma[l \mapsto v])$$

$$\llbracket U_{l,v} \langle \rangle \rrbracket_{\text{term}} = \llbracket l := v \rrbracket_{\text{prog}}$$

$$\llbracket L_l \rrbracket_{\text{op}} (f_0, f_1) \triangleq \lambda\sigma \in \mathbb{S}. f_{\sigma_l} \sigma$$

$$\llbracket L_l(0, 1) \rrbracket_{\text{term}} = \llbracket l? \rrbracket_{\text{prog}}$$

$$\llbracket t \rrbracket_{\text{term}} = \llbracket r \rrbracket_{\text{term}} \iff t \stackrel{\text{G}}{=} r$$

# Adding Non-deterministic Choice

The theory of non-deterministic global state takes global state  $G$  and adds:

- \* Operators for choice: binary  $\vee : 2$  and empty  $\perp : 0$
- \* Axioms of semilattice, e.g.: (Symmetry)  $x \vee y = y \vee x$  (Neutrality)  $x \vee \perp = x$
- \* Axioms of interaction, e.g.: ( $\vee$ -U)  $U_{l,v}(x \vee y) = (U_{l,v}x) \vee (U_{l,v}y)$  ( $\perp$ -U)  $U_{l,v}\perp = \perp$

It is standard to:

- \* Generalize to larger cardinalities, e.g. countable choice
- \* Order by choices:  $t \geq r \triangleq t \vee r = t$  ( $t$  includes every choice  $r$  does)



## Section 2

### The Concurrent Setting

# Shared-State Concurrency Small-Step

Cooperative scheduling (program permits scheduler to switch a thread):

$$\begin{aligned} & \sigma, (l := 1 \parallel l := 0 ; \text{yield} ; \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma, (l := 1 \parallel\! \rangle l := 0 ; \text{yield} ; \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 0], (l := 1 \parallel\! \rangle \text{yield} ; \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 0], (l := 1 \parallel \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \dots \end{aligned}$$

# Cooperative Concurrency: Resumptions<sup>[Abadi & Plotkin 2010]</sup>

$$\begin{aligned} \llbracket l := 0 ; \text{ifz } l? \text{ then "ok" else (yield ; "bug")} \rrbracket_{\text{prog}} &= \llbracket U_{l,0} L_l (\text{"ok"}, Y \text{"bug"}) \rrbracket_{\text{term}} \\ &\stackrel{(\text{UL})}{=} \llbracket U_{l,0} \text{"ok"} \rrbracket_{\text{term}} = \llbracket l := 0 ; \text{"ok"} \rrbracket_{\text{prog}} \end{aligned}$$

The theory of resumptions **Res** takes non-deterministic global state and adds:

- \* Operator for yielding to the concurrent environment  $Y : 1$
- \* Axioms of closure: (Pure)  $Y x \geq x$  (Join)  $Y Y x = Y x$
- \* Axioms of interaction: ( $\vee$ -Y)  $Y(x \vee y) = (Y x) \vee (Y y)$  ( $\perp$ -Y)  $Y \perp = \perp$

$$\begin{aligned} \llbracket l := 0 ; \text{yield} ; \text{ifz } l? \text{ then "ok" else "bug"} \rrbracket_{\text{prog}} &= \llbracket U_{l,0} Y L_l (\text{"ok"}, \text{"bug"}) \rrbracket_{\text{term}} \\ &\stackrel{(\text{Pure})}{\geq} \llbracket U_{l,0} L_l (\text{"ok"}, \text{"bug"}) \rrbracket_{\text{term}} \stackrel{(\text{UL})}{=} \llbracket U_{l,0} \text{"ok"} \rrbracket_{\text{term}} = \llbracket l := 0 ; \text{"ok"} \rrbracket_{\text{prog}} \end{aligned}$$

# Preemptive Concurrency Small-Step

Preemptive scheduling (non-deterministic interleaving):

$$\begin{aligned} & \sigma, (l := 1 \parallel l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 0], (l := 1 \parallel \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \sigma[l \mapsto 1], (\langle \rangle \parallel \text{ifz } l? \text{ then "ok" else "bug"}) \\ \rightarrow & \dots \end{aligned}$$

# Preemptive Concurrency: Also Resumptions?<sup>[P 2006; DKL 2022]</sup>

Use resumptions for preemptive concurrency by yielding implicitly?  
(i.e. using operator  $Y$  without **yield** construct)

Fundamental issue (no-go theorem): does  $\llbracket l? \rrbracket_{\text{prog}}$  implicitly yield?

\* If so, e.g.  $\llbracket l? \rrbracket_{\text{prog}} = \llbracket Y L_l(Y 0, Y 1) \rrbracket_{\text{term}}$  — abstraction issue:

$$\llbracket \text{ifz } l? \text{ then "ok" else "ok"} \rrbracket_{\text{prog}} \neq \llbracket \text{"ok"} \rrbracket_{\text{prog}}$$

\* If not, e.g.  $\llbracket l? \rrbracket_{\text{prog}} = \llbracket L_l(0, 1) \rrbracket_{\text{term}}$  — soundness issue:

$$\llbracket \text{ifz } l? \text{ then } l? \text{ else } 0 \rrbracket_{\text{prog}} = \llbracket 0 \rrbracket_{\text{prog}}$$

# Historical Precedent: Reverse Engineering

Monads came first (1991) — Algebraic effects recovered them (2002)

*the process is a kind of reverse engineering*

— Hyland & Power [2007]

We target the Brookes monad based on sequences of atomic state transitions

- \* Highly Abstract: e.g. has  $\llbracket \text{ifz } l? \text{ then "ok" else "ok"} \rrbracket_{\text{prog}} = \llbracket \text{"ok"} \rrbracket_{\text{prog}}$
- \* Extensible: e.g. infinite executions, type-and-effect systems, allocations, relaxed memory

# Historical Precedent: Reverse Engineering

Monads came first (1991) — Algebraic effects recovered them (2002)

Setting	Sequential	Cooperative Concurrency	Preemptive Concurrency
Monad	State Transformers	...	Brookes Monad
Alg. Theory	Global State	Resumptions	??

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- \* **Highly Abstract**: e.g. has  $\llbracket \text{ifz } l? \text{ then "ok" else "ok"} \rrbracket_{\text{prog}} = \llbracket \text{"ok"} \rrbracket_{\text{prog}}$
- \* **Extensible**: e.g. infinite executions, type-and-effect systems, allocations, relaxed memory

## Section 3

### Brookes Monad



# Brookes's Trace-Based Denotational Model<sup>[1996]</sup>

- \* Denotations  $\llbracket M \rrbracket_{\text{prog}}$  are sets of traces
- \* Trace — a **protocol** that the **pool of threads** in  $M$  **can adhere to**

## Example (Rely/Guarantee Intuition for Traces)

(1) **relies on** access  $\rightarrow$  (2) **to guarantee** access  
 $\langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle x \in \mathsf{TX}$  where  $x \in X$   
then (3) **relies on** access  $\rightarrow$  (4) **to guarantee** access and return value

$\langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle x \in \llbracket M \rrbracket_{\text{prog}}$   $\xrightarrow{\text{stutter}}$   $\langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 0 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 1 \end{smallmatrix} \rangle x \in \llbracket M \rrbracket_{\text{prog}}$  (add reliance)

$\langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 0 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle x \in \llbracket M \rrbracket_{\text{prog}}$   $\xrightarrow{\text{mumble}}$   $\langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle x \in \llbracket M \rrbracket_{\text{prog}}$  (remove guarantee)

# Reasoning in the Brookes Monad

- \* Brookes's model has a monadic presentation  $B$ 
  - » **Domain**: closed sets of traces  $\underline{BX} \triangleq \mathcal{P}^\dagger(\mathsf{TX})$

- \* Supports **program refinements**, e.g.:

$$\begin{aligned} & \llbracket l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"} \rrbracket_{\text{prog}} \\ &= \{ \langle \sigma, \sigma[l \mapsto 0] \rangle \langle \rho, \rho \rangle \text{"ok"} \mid \sigma, \rho \in \mathbb{S}, \rho_l = 0 \}^\dagger \cup \{ \dots \text{"bug"} \mid \dots \rho_l = 1 \}^\dagger \\ (\rho = \sigma[l \mapsto 0]) \quad & \supseteq \{ \langle \sigma, \sigma[l \mapsto 0] \rangle \langle \sigma[l \mapsto 0], \sigma[l \mapsto 0] \rangle \text{"ok"} \mid \sigma \in \mathbb{S} \}^\dagger \\ (\dagger) \quad &= \{ \langle \sigma, \sigma[l \mapsto 0] \rangle \text{"ok"} \mid \sigma \in \mathbb{S} \}^\dagger \\ &= \llbracket l := 0 ; \text{"ok"} \rrbracket_{\text{prog}} \end{aligned}$$

- \* And **equivalences**, e.g.:  $\llbracket \text{ifz } l? \text{ then "ok" else "ok"} \rrbracket_{\text{prog}} = \llbracket \text{"ok"} \rrbracket_{\text{prog}}$

# Reverse Engineering the Brookes Monad

Setting	Sequential	Cooperative Concurrency	Preemptive Concurrency
$\llbracket l := 0 \rrbracket_{\text{prog}}$ Alg. Rep.	$\lambda \sigma. \langle \sigma[l \mapsto 0], \langle \rangle \rangle$ $\llbracket U_{l,0} \langle \rangle \rrbracket_{\text{term}}$	... $\llbracket U_{l,0} \langle \rangle \rrbracket_{\text{term}}$	$\{ \langle \sigma, \sigma[l \mapsto 0] \rangle \langle \rangle \mid \sigma \in \mathbb{S} \}^\dagger$ ??

# Reverse Engineering the Brookes Monad

Setting	Sequential	Cooperative Concurrency	Preemptive Concurrency
$\llbracket l := 0 \rrbracket_{\text{prog}}$	$\lambda \sigma. \langle \sigma[l \mapsto 0], \langle \rangle \rangle$	...	$\{ \langle \sigma, \sigma[l \mapsto 0] \rangle \langle \rangle \mid \sigma \in \mathbb{S} \}^\dagger$
Alg. Rep.	$\llbracket U_{l,0} \langle \rangle \rrbracket_{\text{term}}$	$\llbracket U_{l,0} \langle \rangle \rrbracket_{\text{term}}$	$\llbracket \triangleleft U_{l,0} \triangleright \langle \rangle \rrbracket_{\text{term}}$

## Section 4

### Our Two-sorted Shared State Theory

# Our Two-sorted Theory of Shared State $\mathbb{S}$

- \* Sorts: **Hold** ( $\bullet$ ) & **Cede** ( $\circ$ )

- \* Operators:

  - »  $\bullet$ -sorted **update**  $U_{l,v} : \bullet \langle \bullet \rangle$  and **lookup**  $L_l : \bullet \langle \bullet, \bullet \rangle$

  - » **choice** in each sort

  - » **acquire**  $\triangleleft : \circ \langle \bullet \rangle$     **release**  $\triangleright : \bullet \langle \circ \rangle$

- \* Axioms:

  - »  $\bullet$ -copy of the **global state** axioms

  - » Standard choice axioms (including distributivity and strictness)

  - » **Closure pair axioms:**    (**Empty**)  $\triangleleft \triangleright x = x$     (**Fuse**)  $\triangleright \triangleleft x \geq x$

- \* **Represented** by a **two-sorted generalization**  $B^{\{\bullet, \circ\}}$  of the Brookes monad  $B$

  - »  $\llbracket \triangleleft U_{l,v} \triangleright \langle \rangle \rrbracket_{\text{term}} \cong \llbracket l := v \rrbracket_{\text{prog}}$      $\llbracket \triangleleft L_l (\triangleright 0, \triangleright 1) \rrbracket_{\text{term}} \cong \llbracket l? \rrbracket_{\text{prog}}$

# Reasoning with Shared State $\mathbb{S}$

$$\begin{aligned}
 \llbracket l := 0 ; \text{ifz } l? \text{ then "ok" else "bug"} \rrbracket_{\text{prog}} &\cong \llbracket \triangleleft U_{l,0} \triangleright \triangleleft L_l (\triangleright \text{"ok"}, \triangleright \text{"bug"}) \rrbracket_{\text{term}} \\
 &\stackrel{(\text{Fuse})}{\supseteq} \llbracket \triangleleft U_{l,0} L_l (\triangleright \text{"ok"}, \triangleright \text{"bug"}) \rrbracket_{\text{term}} \\
 &\stackrel{(\text{UL})}{=} \llbracket \triangleleft U_{l,0} \triangleright \text{"ok"} \rrbracket_{\text{term}} \cong \llbracket l := 0 ; \text{"ok"} \rrbracket_{\text{prog}}
 \end{aligned}$$

(Fuse)  $(\triangleright \triangleleft x \geq x)$ : fusing atomic blocks eliminates potential interference

$$\begin{aligned}
 \llbracket \text{ifz } l? \text{ then "ok" else "ok"} \rrbracket_{\text{prog}} &\cong \llbracket \triangleleft L_l (\triangleright \text{"ok"}, \triangleright \text{"ok"}) \rrbracket_{\text{term}} \\
 &\stackrel{G}{=} \llbracket \triangleleft \triangleright \text{"ok"} \rrbracket_{\text{term}} \stackrel{(\text{Empty})}{=} \llbracket \text{"ok"} \rrbracket_{\text{term}} \cong \llbracket \text{"ok"} \rrbracket_{\text{prog}}
 \end{aligned}$$

(Empty)  $(\triangleleft \triangleright x = x)$ : empty atomic blocks have no observable effect

## Section 5

### Our Two-sorted Brookes Monad



# Two-sorted Brookes Traces

- \* Each family  $\mathbf{X} \in \mathbf{Set}^{\{\bullet, \circ\}}$  has a  $\bullet$ -component  $\mathbf{X}_\bullet \in \mathbf{Set}$  and a  $\circ$ -component  $\mathbf{X}_\circ \in \mathbf{Set}$
- \* Generalize to sorted traces:  $\Box \langle \sigma_1, \rho_1 \rangle \dots \langle \sigma_i, \rho_i \rangle \dots \langle \sigma_n, \rho_n \rangle \Diamond x$  is  $\Box$ -sorted and  $\Diamond$ -valued

## Example (Rely/Guarantee Intuition for Sorted Traces)

relies on predecessor holding
guarantees releasing

$\bullet$ -sorted and  $\circ$ -valued:  $\bullet \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle \circ x \in (\mathbb{T}\mathbf{X})_\bullet$ 
where  $x \in \mathbf{X}_\circ$

- \* Same closure rules ( $\xRightarrow{\text{stutter}}$ ) & ( $\xRightarrow{\text{mumble}}$ ) except: no stutter next to  $\bullet$

## Example (Disallowed stutter)

$$\bullet \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle \circ x \not\xRightarrow{\text{stutter}} \bullet \langle \begin{smallmatrix} 0 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 1 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 1 \\ 0 \end{smallmatrix} \rangle \langle \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \rangle \circ x$$

# Representation of our Theory of Shared State $\mathbb{S}$

\* Monad  $B^{\{\bullet, \circ\}}$  represents the shared-state theory  $\mathbb{S}$ :

» **Domain** for each sort  $\square$ : closed sets of  $\square$ -sorted traces  $\underline{B^{\{\bullet, \circ\}}\mathbf{X}}_{\square} \triangleq \mathcal{P}^{\dagger}((\mathbb{T}\mathbf{X})_{\square})$

» **Interpretations**:

$$\llbracket V \rrbracket_{\text{op}} \triangleq \bigcup \quad \text{(Choice)}$$

$$\llbracket \triangleleft \rrbracket_{\text{op}} K \triangleq \{\circ \xi \diamond x \mid \bullet \xi \diamond x \in K\}^{\dagger} \quad \text{(Acquire)}$$

$$\llbracket \triangleright \rrbracket_{\text{op}} K \triangleq \{\bullet \langle \sigma, \sigma \rangle \xi \diamond x \mid \sigma \in \mathbb{S}, \circ \xi \diamond x \in K\}^{\dagger} \quad \text{(Release)}$$

$$\llbracket U_{l,v} \rrbracket_{\text{op}} K \triangleq \bigcup_{\sigma \in \mathbb{S}} (\sigma, \sigma[l \mapsto v]) K \quad \text{(Update)}$$

$$\llbracket L_l \rrbracket_{\text{op}}(K_0, K_1) \triangleq \bigcup_{\sigma \in \mathbb{S}} (\sigma, \sigma) K_{\sigma_l} \quad \text{(Lookup)}$$

$$\text{where } (\sigma, \rho) K \triangleq \{\bullet \langle \sigma, \theta \rangle \xi \diamond x \mid \bullet \langle \rho, \theta \rangle \xi \diamond x \in K\}$$

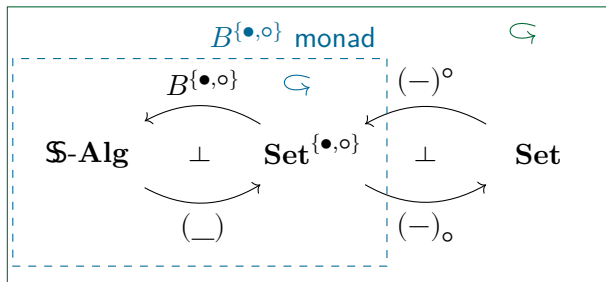
# Recovery Along the Inclusion-Projection Adjunction

\* Monad  $B^{\{\bullet, \circ\}}$  transformed along  $(-)^{\circ} \dashv (-)_{\circ} \cong$  Brookes's monad  $B$

$$\gg X^{\circ} \triangleq \{x : \circ \mid x \in X\} \quad \text{---} \quad \underline{B^{\{\bullet, \circ\}} X^{\circ}}_{\circ} = \mathcal{P}^{\dagger}((\mathbb{T} X^{\circ})_{\circ}) \cong \mathcal{P}^{\dagger}(\mathbb{T} X) = \underline{BX}$$

$$\gg \llbracket \triangleleft U_{l,v} \triangleright \langle \rangle \rrbracket_{\text{term}} \cong \llbracket l := v \rrbracket_{\text{prog}} \quad \llbracket \triangleleft L_l(\triangleright 0, \triangleright 1) \rrbracket_{\text{term}} \cong \llbracket l? \rrbracket_{\text{prog}}$$

$\cong B$  monad



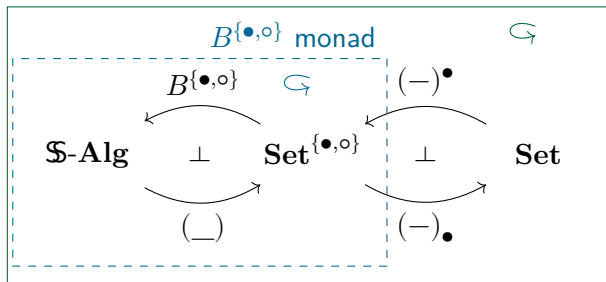
# Recovery Along the Inclusion-Projection Adjunction

\* Monad  $B^{\{\bullet, \circ\}}$  transformed along  $(-)^{\bullet} \dashv (-)_{\bullet}$  represents the resumptions theory Res

» Closure axioms ( $Y \mapsto \triangleright \triangleleft$ ): (Pure)  $\triangleright \triangleleft x \geq x$  (Join)  $\triangleright \triangleleft \triangleright \triangleleft x = \triangleright \triangleleft x$

»  $\llbracket \triangleright \triangleleft \langle \rangle \rrbracket_{\text{term}} \cong \llbracket \text{yield} \rrbracket_{\text{prog}}$   $\llbracket U_{l,v} \langle \rangle \rrbracket_{\text{term}} \cong \llbracket l := v \rrbracket_{\text{prog}}$   $\llbracket L_l(0, 1) \rrbracket_{\text{term}} \cong \llbracket l? \rrbracket_{\text{prog}}$

represents Res



# High-Level Outline of the Solution

A **two-sorted** algebraic effects theory for **shared state concurrency**  $\mathbb{S}$ :  
(the first example of a **multi-sorted** algebraic effects theory)

- \* The sorts **Hold**  $\bullet$  & **Cede**  $\circ$  declare **exclusive access** to memory

- \* Classic algebraic effects theories:

- » Global State  $G$  in  $\bullet$
- » Choice (semilattice) in both sorts

- \* The **Closure Pair** theory  $C$  for **managing access**:

- » Operators: **Acquire**  $\triangleleft : \circ \langle \bullet \rangle$  & **Release**  $\triangleright : \bullet \langle \circ \rangle$
- » **Closure pair axioms**:  $(\text{Empty}) \triangleleft \triangleright x = x$   $(\text{Fuse}) \triangleright \triangleleft x \geq x$

- \* Represented by a **two-sorted** model recovering known models in each sort:

- » The  $\circ\text{-incl} \dashv \circ\text{-proj}$  adjunction **recovers Brookes's model (preemptive concurrency)**
- » The  $\bullet\text{-incl} \dashv \bullet\text{-proj}$  adjunction **represents Resumptions (cooperative concurrency)**

*Thank you!*